



# HIMALAYA WEALTH MANAGEMENT FUND

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## GRIEVANCE / DISPUTE REDRESSAL MECHANISM

Himalaya Wealth Management Fund, being a SEBI Registered category I Alternative Investment Fund (AIF) accepts and would accept contribution from investors who desire to invest in the schemes floated by Himalaya Wealth Management Fund (The Fund). The Investment Manager of Himalaya Wealth Management Fund is Himalaya Wealth Managers LLP and as such the Investment Manager is required to ensure that any disputes/concerns in respect of any scheme launched by it/the fund are resolved at the earliest.

In view of the above, the grievance redressal mechanism of the Fund as well as the Investment Manager is as follows:

The designated Compliance Officer of the Fund is the investor relations officer and shall be responsible for attending any grievance/concern/investor query at the earliest. The investor/contributor shall first submit his concern/query/dispute to the Fund and the Fund shall endeavor to redress it within 7 days of receipt thereof. The designated email id for submitting such concern/query/ dispute is [investors@himalayafunds.com](mailto:investors@himalayafunds.com) .The Fund has registered on the SEBI Scores portal and any investor/contributor may submit his grievance on the Scores portal of SEBI, the link for the same is <https://scores.sebi.gov.in>. The Fund shall take appropriate steps to ensure that the dispute/concern/query is resolved within seven days of receipt thereof but in any case, not later than the regulatory specified 21 days from the date of receipt thereof.

The contributor may also send their complaints to Office of Investor Assistance and Education, Securities and Exchange Board of India, SEBI Bhavan, plot No. C4-A, 'G' Block, Bandra Kurla Complex, Bandra East, Mumbai, 400051.

Unresolved complaints beyond the regulatory specified time period will be referred to a committee of management specified for the purpose. If the committee is unable to resolve the dispute, same shall be submitted to a dispute resolution mechanism that includes mediation and / or arbitration, as per procedure specified by SEBI from time to time.